

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: PKT Technologies, LLC

Application No./Patent No.: 10/821,833

Filed/Issue Date: April 9, 2004

Entitled:

PKT Technologies, LLC

a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest
(The extent (by percentage) of its ownership interest is _____ %)

in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

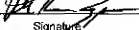
1. From: Bretscher, John To: Ameritech Services, Inc.
The document was recorded in the United States Patent and Trademark Office at Reel 8081, Frame usx, or for which a copy thereof is attached.
2. From: Ameritech Services, Inc. To: PKT Technologies, LLC
The document was recorded in the United States Patent and Trademark Office at Reel 018187, Frame ub17, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

	<u>September 11, 2007</u>
Signature	Date
<u>Peter K. Trzyna, Esq.</u>	<u>(312)240-9824</u>
Printed or Typed Name	Telephone Number
<u>Manager, PKT Technologies, LLC</u>	
Title	

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1480, Alexandria, VA 22313-1480. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1480, Alexandria, VA 22313-1480.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



UNITED STATES DEPARTMENT OF COMM.
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

OCTOBER 24, 1996

PTAS

BRINKS HOFER GILSON & LIONE
NATALIE D. KADIEVITCH
P.O. BOX 10395
CHICAGO, ILLINOIS 60610



100255086A

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, NORTH TOWER BUILDING, SUITE 10C35, WASHINGTON, D.C. 20231.

RECORDATION DATE: 06/11/1996

REEL/FRAME: 8081/0829
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

BRETSCHER, JOHN

DOC DATE: 06/10/1996

ASSIGNEE:

AMERITECH SERVICES, INC.
2000 W. AMERITECH CENTER DRIVE
HOFFMAN ESTATES, ILLINOIS
60196-1025

SERIAL NUMBER: 08661428
PATENT NUMBER:

FILING DATE: 06/11/1996
ISSUE DATE:

KEITH GOODE, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS



PATENTS ONLY

PATENTS ONLY

the Honorable Commissioner of Patents and
Trademarks:

Please record the attached original document or copy thereof.

<p>1. Name of <u>John Bretscher</u> conveying an interest:</p> <p>Additional name(s) of conveying <input type="checkbox"/> yes <input checked="" type="checkbox"/> no attached?</p>	<p>2. Name and Address of Party(ies) receiving an interest:</p> <p>Name: Ameritech Services, Inc. Internal Address: 2000 W. Ameritech Center Drive Street Address: City: Hoffman Estates State/Zip: Illinois 60196-1025 Additional name(s) & address(es) attached? <input type="checkbox"/> yes <input checked="" type="checkbox"/> no</p>
<p>3. Description of the interest conveyed:</p> <p><input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Change of Name <input type="checkbox"/> Security Agreement <input type="checkbox"/> Merger Other _____</p> <p>Execution Date: <u>June 10, 1996</u></p>	
<p>4. Application number(s) or patent number(s). Additional sheet attached? <input type="checkbox"/> yes <input checked="" type="checkbox"/> no</p> <p>If this document is being filed together with a new application, the execution date of the application is: <u>June 10, 1996</u> Date</p> <p>A. Patent Application No.(s) _____ B. Patent No.(s) _____</p> <p>Additional numbers attached? <input type="checkbox"/> yes <input checked="" type="checkbox"/> no</p>	
<p>5. Name and address of party to whom correspondence concerning document should be mailed:</p> <p>Name: <u>BRINKS ROFFER GILSON & LIONE</u> <u>P.O. Box 10325</u> City: <u>Chicago</u> State/Zip: <u>Illinois 60610</u></p>	<p>6. Number of applications and patents involved: <u>1</u></p> <p>7. Total fee (37 CFR 3.41) <u>\$40.00</u></p> <p><input checked="" type="checkbox"/> Enclosed</p> <p><input type="checkbox"/> Authorized to be charged to Deposit Account No. 23-1925 (duplicate copy of this page enclosed)</p> <p>8. <input checked="" type="checkbox"/> Please charge any deficiencies in fees or credit any overpayment to Deposit Account No. 23-1925. (duplicate copy of this page enclosed)</p>
<p>DO NOT USE THIS SPACE</p>	
<p>9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.</p> <p><u>Natalie D. Kadievitch</u> Name of Person Signing</p> <p><u>Natalie D. Kadievitch</u> Signature</p> <p><u>June 11, 1996</u> Date</p> <p>Total number of pages including cover sheet, attachments, and document: <u>4</u></p>	

ASSIGNMENT

WHEREAS, John Bretscher, hereinafter called the "Assignor", has made the invention described in the United States patent application entitled COMPUTER SYSTEM ARCHITECTURE AND METHOD FOR MULTI-USER, REAL-TIME APPLICATIONS, executed by Assignor on the same date as this Assignment;

WHEREAS, Ameritech Services, Inc., a corporation organized and existing under the laws of the State of Illinois, having a place of business at 2000 West Ameritech Drive, Hoffman Estates, Illinois, hereinafter called the "Assignee", desires to acquire the entire right, title and interest in and to the invention and the patent application identified above, and all patents which may be obtained for said invention, as set forth below;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00), and other valuable and legally sufficient consideration, the receipt of which by the Assignor from the Assignee is hereby acknowledged, the Assignor has sold, assigned and transferred, and by these presents does sell, assign and transfer to the Assignee, the entire right, title and interest for the United States in and to the invention and the patent application identified above, and any patents that may issue for said invention in the United States; together with the entire right, title and interest in and to said invention and all patent applications and patents therefor in all countries foreign to the United States, including the full right to claim for any such application all benefits and priority rights under any applicable convention; together with the entire right, title and interest in and to all continuations, divisions, renewals and extensions of any of the patent applications and patents defined above; to have and to hold for the sole and exclusive use and benefit of the Assignee, its successors and assigns, to the full end of the term or terms for all such patents.

The Assignor hereby covenants and agrees, for both the Assignor and the Assignor's legal representatives, that the Assignor will assist the Assignee in the prosecution of the patent application identified above; in the making and prosecution of any other patent applications that the Assignee may elect to make covering the invention identified above; in vesting in the Assignee like exclusive title in and to all such other patent applications and patents; and in the prosecution of any interference which may arise involving said invention, or any such patent application or patent; and that the Assignor will execute and deliver to the Assignee any and all additional papers which may be requested by the Assignee to carry out the terms of this Assignment.

The Commissioner of Patents and Trademarks is hereby authorized and requested to issue patents to the Assignee in accordance with the terms of this Assignment.

IN TESTIMONY WHEREOF, the Assignor has executed this agreement.

DATED: 06-10-96

John Bretscher
John Bretscher

STATE OF Ill.)
COUNTY OF Cook) ss.

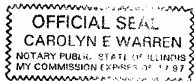
I, Carolyn E. Warren, a Notary Public in and for the County and State aforesaid, do hereby certify that John Bretscher, personally known to me to be the same person whose name is subscribed to the foregoing instrument, appeared before me this day in person and acknowledged that (s)he signed, sealed and delivered the said instrument as his/her free and voluntary act for the uses and purposes therein set forth.

IN WITNESS WHEREOF, I have hereunto set my hand and Notarial Seal, this 10th day of June, 1996

Carolyn E. Warren
Notary Public

(SEAL)

My Commission Expires: 5/97





UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

SEPTEMBER 24, 2004

PTAS



102714239A

PETER K. TRZYNA
P.O. BOX 7131
CHICAGO, IL 60680

UNITED STATES PATENT AND TRADEMARK OFFICE
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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 04/01/2004

REEL/FRAME: 015167/0617
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

AMERITECH SERVICES, INC., HOFFMAN
ESTATES, IL

DOC DATE: 03/29/2004

ASSIGNEE:

PKT TECHNOLOGIES, LLC
195 N. HARBOR DRIVE
SUITE 5403
CHICAGO, ILLINOIS 60601

SERIAL NUMBER: 08661428

FILING DATE: 05/11/1996

PATENT NUMBER: 6175854

ISSUE DATE: 01/16/2001

TITLE: COMPUTER SYSTEM ARCHITECTURE AND METHOD FOR MULTI-USER, REAL-TIME APPLICATIONS

015167/0617 PAGE 2

SERIAL NUMBER: 10217821

FILING DATE: 08/12/2002

PATENT NUMBER: 6730564

ISSUE DATE: 05/04/2004

TITLE: SALICIDED GATE FOR VIRTUAL GROUND ARRAYS

THERESA FREDERICK, EXAMINER

ASSIGNMENT DIVISION

OFFICE OF PUBLIC RECORDS

04-06-2004

POBM 770-1395

(Rev. 8-93)

OMB No. 051-0011 (exp. 4/04)



SHEET

U.S. Department of Commerce
Patent and Trademark Office

4-604

102714239

To the Honorable Commissioner of Patents and Trademarks and the attached original document or copy thereof

OFFICE OF PUBLIC RECORDS
2004 APR -1 AM 9:02
FINANCE SECTION

1. Name of conveying party(ies):
Ameritech Services, Inc., Hoffman Estates, IL

Additional name(s) of conveying party(ies) attached?
☐ Yes ☒ No

3. Nature of conveyance:
☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other Promissory Note

Execution Date: March 29, 2004

2. Name and address of receiving party(ies):
PKT Technologies, LLC

Internal Address: Suite 5403

Street Address: 195 N. Harbor Drive

City: Chicago

State: Illinois Zip: 60601

Additional name(s) & address(es) attached?
☐ Yes ☒ No

4. Application number(s) or registration number(s): 6,175,854; 6,370,564

If this document is being filed together with a new application, the execution date of the application is

A. Patent Application No.(s)

B. Patent No.(s) 6,175,854; 6,370,564

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Peter K. Trzyna

Internal Address: P.O. Box 7131

City: CHICAGO State: IL Zip: 60680

6. Total number of applications and patents involved 1

7. Total fee (37 C.F.R. 3.41) \$ 40.00

☐ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number: 50-0235

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Peter K. Trzyna
Name of Person Signing

Signature

April 1, 2004
Date

Total number of pages including cover sheet, attachments and document: 5

4/05/2004 ELDOPER 00000085 500235 6175854

1 FC:0021 80.00 38

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Bus Assignments
Washington, D.C. 20231

ASSIGNMENT

WHEREAS, Ameritech Services, Inc., a corporation organized and existing under the laws of the State of Delaware, having a place of business at 2000 West SBC Center Drive, Hoffman Estates, Illinois, 60196 hereinafter called the "Assignor", is the owner of the entire right, title and interest in and to United States Patent No. 6,175,854 titled "Computer System Architecture and Method for Multi-User Real-Time Applications", and U.S. Patent No. 6,370,564 titled "Computer System Architecture and Method for Multi-User Real-Time Application", (collectively, the "Patents").

WHEREAS, PKT Technologies, LLC, a limited liability corporation organized and existing under the laws of the State of Delaware, having a place of business at 195 North Harbor Drive, Suite 5403, Chicago, Illinois, hereinafter called the "Assignee", desires to acquire the entire right, title and interest in and to the Patents, as set forth below;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00), and other valuable and legally sufficient consideration, the receipt of which by the Assignor from the Assignee is hereby acknowledged, the Assignor has sold, assigned and transferred, and by these presents does sell, assign and transfer to the Assignee, the entire right, title and interest in the Patents, including (i) all claims for damages by reason of past, present or future infringements of the Patents together with a right to sue for and collect such damages, and (ii) any amendments, continuations, continuations-in-part, re-issuances, reexaminations and other patents claiming priority therefrom in other jurisdictions; to have and to hold for the sole and exclusive use and benefit of the

Assignee, its successors and assigns, to the full end of the term or terms for all such patents.

The Commissioner of Patents and Trademarks is hereby authorized and requested to record this assignment and to issue patents to the Assignee in accordance with the terms of this Assignment.

IN TESTIMONY WHEREOF, the Assignor has executed this agreement.

DATED: 3/21/04

John J. Divine

STATE OF Texas)
) ss.
COUNTY OF Travis

I, Mary Eleanor McNeill, a Notary Public in and for the County and State aforesaid, do hereby certify that Abbas Divine, personally known to me to be the same person whose name is subscribed to the foregoing instrument, appeared before me this day in person and acknowledged that (s)he signed, sealed and delivered the said instrument in his/her free and voluntary act for the uses and purposes therein set forth.

IN WITNESS WHEREOF, I have hereunto set my hand and Notarial Seal, this 29th day of March, 2004.

Mary Eleanor McNeill
Notary Public

(SEAL)



My Commission Expires: March 16, 2005

Express Mail mailing label number: **637196338505**

I, Petar K. Trizyna (Reg. No. 32,601), hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Service under 37 CFR 1.16 on the date indicated below and is addressed to MS. Assignment Division, Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date set forth below:

Date:

Signed:

Petar K. Trizyna (Reg. No. 32,601)

PATENT

Paper No.

File:

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors	:	See Enclosed
Serial No.	:	See Enclosed
Filed	:	See Enclosed
For	:	See Enclosed
Group Art Unit	:	See Enclosed
Examiner	:	See Enclosed

Honorable Commissioner of Patents
and Trademarks
Washington, D.C. 20231

TRANSMITTAL LETTER

S I R :

Transmitted herewith for filing in the above-identified patent application is the following:

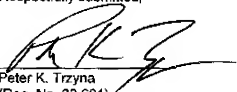
1. Assignment, executed March 29, 2004; and
2. Assignment Recordation Cover Sheet (in duplicate)

The Commissioner is authorized to charge any deficiency or to credit any over payment to Deposit Account No. 50-0235.

Please direct all correspondence to the undersigned at the address given

below.

Respectfully submitted,



Peter K. Trzyna
(Reg. No. 32,601)

Date: April 1, 2004

P.O. Box 7131
Chicago, IL 60680-7131
(312) 240-0824